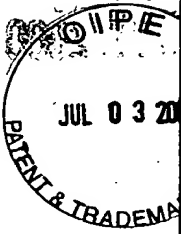


#3



STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN

Docket Number (Optional)

Applicant, Patentee, or Identifier: _____
Application or Patent No.: 09/581058
Filed or Issued: June 8, 2000
Title: Percutaneous Bone Anchored Transferring Device

I hereby state that I am
☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN OSSEOFON AB

ADDRESS OF SMALL BUSINESS CONCERN Splintvedsgatan 7, 416 80 GÖTEBORG, Sweden

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- ☐ Each person, concern, or organization having any rights in the invention is listed below:
- ☐ no such person, concern, or organization exists.
- ☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Bo Håkansson

TITLE OF PERSON IF OTHER THAN OWNER _____

ADDRESS OF PERSON SIGNING Splintvedsgatan 7, 41680 Göteborg

SIGNATURE Bo Håkansson DATE 18/6-2000



DEP & REF
Room #307

GOTE.P-037
PATENT APPLICATION
June 29, 2000

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hakansson
Serial No. 09/581058
Filing Date: June 8, 2000
Title: Percutaneous Bone Anchored Transferring Device

SUBMISSION OF SMALL ENTITY STATEMENT AND REQUEST FOR REFUND

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Applicant submits herewith a Small Entity Statement and requests a refund of one-half of the filing fee paid for the above-referenced patent application in the amount of \$485.

The Commissioner is authorized to credit the refund to Debit Account No. 15-0610.

Respectfully submitted,

OPPEDAHL & LARSON LLP

Marina T. Larson

Marina T. Larson, Ph.D.
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P.O. Box 5068
Dillon, CO 80435-5068
(970) 468-6600

I hereby certify that this paper and the attachments named herein are being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on June 30, 2000.

6/30/00
Date of Signature

Linda L. On

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